

CHINMAY HARSHAD KELKAR, CFA

SEBI REGISTERED INVESTMENT ADVISER (INDIVIDUAL) | REGISTRATION NO. INA000006837 | BSE ENLISTMENT NO. 1041

552 PUNARNAVA APARTMENTS, OLD RAMDASPETH, NAGPUR 440010

CONTACT NUMBER: +91 96195 73740 / +91 712 2422327

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ANNUAL COMPLIANCE AUDIT REPORT REQUIREMENTS BY INVESTMENT ADVISERS

With respect to compliance with the Annual Compliance Audit requirement under Regulation 19(3) of the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013, read with clause 1(xiv) of the SEBI Master Circular for Investment Advisers dated February 06, 2026 – every Investment Adviser shall conduct a yearly audit of compliance with the Regulations and the circulars issued thereunder, submit the audit report to BSE Limited (the Investment Adviser Administration and Supervisory Body), and shall publish the status of the compliance audit report on its website, along with the adverse findings of the audit, if any, and the action taken thereon.

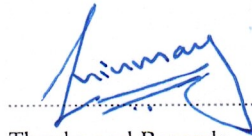
Please find mentioned the same for your reference:

SR No	Financial Year	Compliance Audit Status	Adverse Findings, if any
1	FY 2020-2021	Conducted	Nil
2	FY 2021-2022	Conducted	Nil
3	FY 2022-2023	Conducted	Nil
4	FY 2023-2024	Conducted	Nil
5	FY 2024-2025	Conducted	Nil
6	FY 2025-2026	Conducted	Nil

The audit for FY 2025-2026 was conducted by CA Niranjana R. Jadhav, Chartered Accountant (ICAI Membership No. 173437), and the audit report together with the client level segregation certificate was submitted to BSE Limited through its portal on 25 September 2026 (Application No. 000002359). No adverse finding was reported.

Date: 25 September 2026

Place: Nagpur


CHINMAY KELKAR, CFA
SEBI REGISTERED
INVESTMENT ADVISER
Registration No. INA000006837
Ph: +91 9619573740

Thanks and Regards

Chinmay Harshad Kelkar, CFA

SEBI Registered Investment Adviser (Individual): INA000006837

BSE Enlistment No.: 1041

Principal Officer, Compliance Officer and Grievance Officer: Chinmay Harshad Kelkar

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Registration Details: Issue Date – January 2017; Validity – Perpetual

SEBI Regional Office Address: SEBI Bhavan, Bandra Kurla Complex, Bandra (E), Mumbai – 400051

Registration granted by SEBI, enlistment with BSE and certification from NISM in no way guarantee performance of the IA or provide any assurance of returns to investors. Investment in securities market are subject to market risks. Read all the related documents carefully before investing.